

Attorney's Docket No. L0532/7010 NPF/SRF

Applicant or Patentee: McInerney et al.
Serial or Patent No: 09/556,280
Filed or Issued: April 24, 2000
For: PORTABLE AUTHENTICATION DEVICE AND METHOD OF
AUTHENTICATING PRODUCTS OR PRODUCT PACKAGING

**DECLARATION CLAIMING SMALL ENTITY STATUS
(SMALL BUSINESS CONCERN)**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Veritec, Inc.
ADDRESS OF CONCERN: 85 Westbrook Road, Centerbrook, CT 06409

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PORTABLE AUTHENTICATION DEVICE AND METHOD OF AUTHENTICATING PRODUCTS OR PRODUCT PACKAGING by inventor(s), McInerney et al., described in application serial no. 09/556,280, filed April 24, 2000.

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

☒ no such person, concern, or organization
☐ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Serial No.: 09/556,280

Art Unit: 2877

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Richard Selinfreund

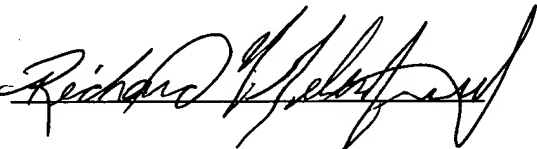
TITLE OF PERSON IF OTHER THAN OWNER:

President


ADDRESS OF PERSON SIGNING:

85 Westbrook Road, Centerbrook, CT 06409

SIGNATURE



DATE





Attorney Docket No. L0532/7010 NPF/SRF

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PORTABLE AUTHENTICATION DEVICE AND METHOD OF AUTHENTICATING PRODUCT OR PRODUCT PACKAGING

the specification of which is attached hereto unless the following is checked:

☒ was filed on April 24, 2000, as United States Application No. 09.556,280, bearing attorney docket No. L0532/7010, and was amended on June 2, 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b):

| | | | Priority Claimed | |
|-------------------|--|---------------------------|------------------------------|-----------------------------|
| _____ (Number) | _____ (Country-if PCT, so indicate) | _____ (DD/MM/YY Filed) | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| _____ (Number) | _____ (Country-if PCT, so indicate) | _____ (DD/MM/YY Filed) | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| _____ (Number) | _____ (Country-if PCT, so indicate) | _____ (DD/MM/YY Filed) | <input type="checkbox"/> YES | <input type="checkbox"/> NO |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

| | |
|----------------------|-----------------------|
| <u>60/193,158</u> | <u>March 28, 2000</u> |
| (Application Number) | (filing date) |
| <u>60/144,544</u> | <u>July 16, 1999</u> |
| (Application Number) | (filing date) |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| | | |
|--------------------------|----------------------|--|
| <u>(Application No.)</u> | <u>(filing date)</u> | <u>(status-patented, pending, abandoned)</u> |
| <u>(Application No.)</u> | <u>(filing date)</u> | <u>(status-patented, pending, abandoned)</u> |

PCT International Applications designating the United States:

| | | | |
|------------------------|------------------------|--------------------------|--|
| <u>(PCT Appl. No.)</u> | <u>(U.S. Ser. No.)</u> | <u>(PCT filing date)</u> | <u>(status-patented,pending,abandoned)</u> |
|------------------------|------------------------|--------------------------|--|

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

| | | | | | |
|-----------------------|--------|----------------------|--------|---------------------------|--------|
| Robert M. Abrahamsen | 40,886 | Jason M. Honeyman | 31,624 | Stanley Sacks | 19,900 |
| John N. Anastasi | 37,765 | Robert E. Hunt | 39,231 | Christopher S. Schultz | 37,929 |
| Gary S. Engelson | 35,128 | Ronald J. Kransdorf | 20,004 | Alan B. Sherr | 42,147 |
| Neil P. Ferraro | 39,188 | Peter C. Lando | 34,654 | Robert A. Skrivaneck, Jr. | 41,316 |
| Thomas G. Field | 45,596 | Helen C. Lockhart | 39,248 | Paul D. Sorkin | 39,039 |
| Stephen R. Finch | 42,534 | Matthew B. Lowrie | 38,228 | Alan W. Steele | 45,128 |
| Edward R. Gates | 31,616 | William R. McClellan | 29,409 | Mark Steinberg | 40,828 |
| Richard F. Giunta | 36,149 | Daniel P. McLoughlin | 46,066 | Joseph Teja, Jr. | 45,157 |
| Peter J. Gordon | 35,164 | James H. Morris | 34,681 | John R. Van Amsterdam | 40,212 |
| John C. Gorecki | 38,471 | M. Lawrence Oliverio | 30,915 | Michael G. Verga | 39,410 |
| William G. Gosz | 27,787 | Timothy J. Oyer | 36,628 | Robert H. Walat | 46,324 |
| Lawrence M. Green | 29,384 | Edward F. Perlman | 28,105 | Lisa E. Winsor | 44,405 |
| George L. Greenfield | 17,756 | Michael J. Pomianek | 46,190 | David Wolf | 17,528 |
| James M. Hanifin, Jr. | 39,213 | Elizabeth R. Plumer | 36,637 | Douglas R. Wolf | 36,971 |
| Therese A. Hendricks | 30,389 | Randy J. Pritzker | 35,986 | Ivan D. Zitkovsky | 37,482 |
| Steven J. Henry | 27,900 | Robert E. Rigby, Jr. | 36,904 | | |
| | | Edward J. Russavage | 43,069 | | |

Serial No.: 09/556,280

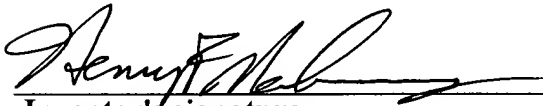
-3-

Art Unit: 2877

Address all telephone calls to Stephen R. Finch at telephone no. (617) 720-3500. Address all correspondence to:

Neil P. Ferraro
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Inventor's signature

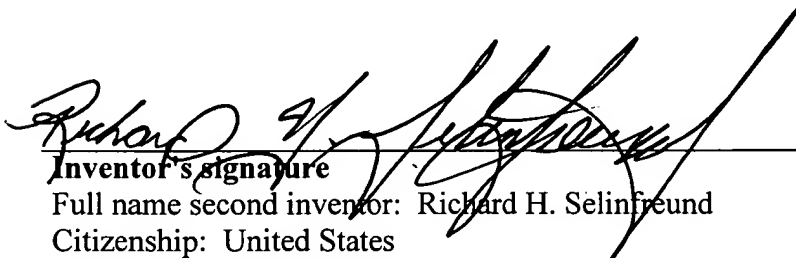
Full name first inventor: Henry F. McInerney

Citizenship: United States

Residence: 33 N. Main Street, Essex, CT 06426

Post Office Address: Essex, CT

Aug 4, 2000
Date



Inventor's signature

Full name second inventor: Richard H. Selinfreund

Citizenship: United States

Residence: 1285 Moose Hill Road, Guilford, CT 06437

Post Office Address: Guilford, CT

Aug. 4, 2000
Date



Inventor's signature

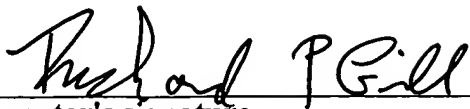
Full name third inventor: Rakesh Vig

Citizenship: India

Residence: 15 Park Place, Durham, CT 06422

Post Office Address: Durham, CT

Aug 7, 2000
Date



Inventor's signature

Full name fourth inventor: Richard P. Gill

Citizenship: United States

Residence: 1 Adios Lane, Ledyard, CT 06339

Post Office Address: Ledyard, CT

8-7-00

Date



Inventor's signature

Full name fifth inventor: Fred J. Behringer

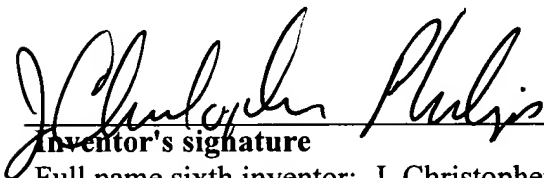
Citizenship: United States

Residence: 11-1 Stonewood Drive, Old Lyme, CT 06371

Post Office Address: Old Lyme, CT

8-7-00

Date



Inventor's signature

Full name sixth inventor: J. Christopher Philips

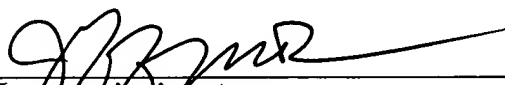
Citizenship: United States

Residence: 15 Surfside Avenue, Charlestown, RI 02813

Post Office Address: Charlestown, RI

8/07/00

Date



Inventor's signature

Full name seventh inventor: Jeffrey M. Drew

Citizenship: United States

Residence: 131 Montowese Street, Branford, CT 06405

Post Office Address: Branford, CT

8/07/00

Date